

Date: May 10, 2004

No. of Sheets (including cover): 20

TO: Examiner Hassan A. Phillips
Phone:
Fax: (703) 872-9306

OFFICIAL

From: Anne Vachon Dougherty, Esq.
3173 Cedar Road
Yorktown Hts, NY 10598
Phone (914) 962-5910
Fax (914) 962-1973

**RECEIVED
CENTRAL FAX CENTER**

MAY 12 2004

Subject: Patent Application
Docket No: AUS920000830
Serial No: 09/740,247

Comments: Amendment attached

**THE CONTENTS OF THIS TRANSMISSION ARE CONFIDENTIAL AND
PRIVILEGED AND INTENDED SOLELY FOR VIEWING BY THE
ADDRESSEE. IF YOU HAVE RECEIVED THIS TRANSMISSION IN
ERROR, PLEASE CONTACT TRANSMITTER AT THE ABOVE-LISTED
TELEPHONE NUMBER. DO NOT RE-TRANSMIT!!!**

OFFICIAL

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED VIA FACSIMILE TO
EXAMINER HASSAN PHILLIPS AT (703) 872-9306,
ON DATE OF DEPOSIT: May 10, 2004
PERSON TRANSMITTING: ANNE VACHON DOUGHERTY

RECEIVED
CENTRAL FAX CENTER

MAY 12 2004

Anne Vachon Dougherty 5/10/04
Signature & Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>In Re Application of</u>	:	May 10, 2004
<u>L.E. Ullman</u>		Group Art No. 2153
<u>Serial No. 09/740,247</u>		Examiner: H. A. Phillips
<u>Filed: December 18, 2000</u>		for IBM Corporation
<u>Title: METHOD AND APPARATUS IN</u>		Anne Vachon Dougherty
<u>NETWORK MANAGEMENT SYSTEM</u>		3173 Cedar Road
<u>FOR PERFORMANCE-BASED</u>		Yorktown Hts, NY 10598
<u>NETWORK PROTOCOL LAYER FIREWALL</u>		

AMENDMENT

Commissioner for Patents
Sir:

In response to the Office Action dated February 9, 2004
for the above-identified patent application, Applicants
submit the following:

Amendments to the Claims as reflected in the Listing of
Claims which begins on page 2.

Remarks/Arguments which begin on page 9.

AUS920000830

-1-